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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/719.907 Filing Date TRANSMITTAL 11/21/2003 First Named Inventor **FORM** WARREN M. FARNWORTH Art Unit 2812 **Examiner Name** (to be used for all correspondence after initial filing) Attorney Docket Number 01-1059.2 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): Return receipt postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name THE LAW OFFICE OF STEPHEN A. GRATTON Signature Printed name Stephen A. Gratton Date Reg. No. 12/30/2004 28,418 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Stephen A. Gratton Date 12/30/2004 Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.Q. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





e application of:

WARREN M. FARNWORTH ALAN G. WOOD TRUNG TRI DOAN

Serial No.: 10/719,907

ART UNIT: 2812

Filing Date: 11/21/2003

**EXAMINER:** 

METHOD FOR FABRICATING ENCAPSULATED SEMICONDUCTOR COMPONENTS HAVING

CONDUCTIVE VIAS

Docket No. 01-1059.2

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 PRIOR TO THE FIRST OFFICE ACTION ON THE MERITS

**DECEMBER 30, 2004** 

MAIL STOP AMENDMENT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The Information Disclosure Statement transmitted herewith is being filed within 3 months of filing or before the first Office Action on the merits, whichever is later.

A duplicate of this transmittal is attached. If any fees are due, please charge the Deposit Account 07-1857.

Respectfully submitted:

Stephen A. Grafton Registration No. 28,418 Attorney of Record

2764 South Braun Way Lakewood, CO 80228 Telephone: (303) 989 6353

Fax: (303) 989 6538

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 30th day of December, 2004.

December 30, 2004 Date of Signature

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WARREN M. FARNWORTH ALAN G. WOOD TRUNG TRI DOAN

rial No.: 10/719,907

ART UNIT: 2812

Filing Date: 11/21/2003

**EXAMINER:** 

FOR:

METHOD FOR FABRICATING ENCAPSULATED

SEMICONDUCTOR COMPONENTS HAVING

**CONDUCTIVE VIAS** 

Docket No. 01-1059.2

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 DECEMBER 30, 2004

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed on the accompanying Form PTO/SB/08, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art under 35 USC §102.

It is requested that the above citations be made of record, if appropriate, in the prosecution of this application.

Respectfully submitted:

Stephen A. Gratton Registration No. 28,418

Attorney of Record

2764 South Braun Way Lakewood, CO 80228 Telephone: 303 989 6353

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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<u>December 30, 2004</u> Date of Signature

Stephen A. Gratton, Attorney of Record

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

Sheet 1

(Use as many sheets as necessary)

of 1

Complete if Known Application Number 10/719,907 Filing Date 11/21/2003 First Named Inventor WARREN M. FARNWORTH Art Unit 2812 Examiner Name Attorney Docket Number 01-1059.2

U. S. PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (F known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	Α	<sup>US-</sup> 2001/0040117 A1	11/15/2001	Easton				
	В	<sup>US-</sup> 2002/0009826 A1	01/24/2002	Chien				
	С	<sup>US-</sup> 2002/0097302 A1	07/25/2002	Nikkel				
	D	<sup>US-</sup> 6,573,156 B1	06/03/2003	Wang et al.				
	E	<sup>US-</sup> 6,774,659 B1	08/10/2004	Chiang				
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FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	<b>⊤</b> 6			
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Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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